

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TECHNOLOGY CENTER 2800

Applicant: BASTUE et al. Examiner: Unknown  
Serial No.: 09/928159 Group Art Unit: 2872  
Filed: August 10, 2001 Docket No.: 01485.0015-US-01  
Title: ALIGNMENT OF MULTI-CHANNEL DIFFRACTIVE WDM DEVICE

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 16, 2003.

Iain A. McIntyre  
Name

Signature

Commissioner for Patents  
Washington, D.C. 20231

- Supplemental Information Disclosure Statement, Form 1449, copies of 18 reference(s)  
 Transmittal Sheet  
 Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC



Date: January 16, 2003

By:

Iain A. McIntyre  
Reg. No. 40,337  
IAM/vlb



Serial No. 09/928159

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Iain A. McIntyre  
Name

Signature

**Information Disclosure Statement Under 37 C.F.R. §1.97(b)**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is filed before the mailing date of the first Office Action on the merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference

has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC

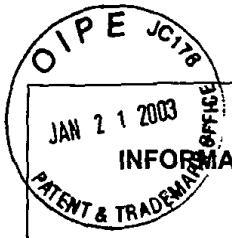


Date: January 16, 2003

By:

A handwritten signature in black ink, appearing to read "Iain A. McIntyre".

Iain A. McIntyre  
Reg. No. 40,337  
IAM/vlb



 <b>JAN 21 2003</b> <b>INFORMATION DISCLOSURE STATEMENT</b> PTO Form 1449	<b>Docket Number</b> 01485.0015-US-01	<b>Serial Number</b> 09/928159
	<b>Applicant(s)</b> BASTUE et al.	
	<b>Filing Date</b> 8/10/2001	<b>Group Art Unit</b> 2872

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

## **OTHER DOCUMENTS**

**Examiner:** \_\_\_\_\_ **Date Considered:** \_\_\_\_\_